

PTO/SB/10 (1-99)

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**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c)) SMALL BUSINESS CONCERN**Docket Number I2GO1
9297.99239Applicant, Patentee, or Identifier: Sam JOHNSON et al.Application or Patent No.: UnassignedFiled or Issued: Concurrently herewithTitle A SYSTEM FOR AND METHOD OF IMPLEMENTING A CLOSED LOOP RESPONSE
ARCHITECTURE FOR ELECTRONIC COMMERCE

I hereby state that I am

- ☐ the owner of the small business concern identified below;
☒ an official of the small business concern empowered to act on behalf of the concern identified below;

NAME OF SMALL BUSINESS CONCERN i2Go.com, LLCADDRESS OF SMALL BUSINESS CONCERN 41 Perimeter Center East, Suite 660, Atlanta, Georgia
30346

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.

- ☒ the application filed herewith with title as listed above,
☐ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

NAME OF PERSON SIGNING Sam JohnsonTITLE OF PERSON IF OTHER THAN OWNER PresidentADDRESS OF PERSON SIGNING 41 Perimeter Center East, Suite 660, Atlanta, Georgia 30346SIGNATURE DATE 8/12/99

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

A

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. I2GO1 9297.99239

First Inventor or Application Identifier Sam Johnson et al.

Title A SYSTEM FOR AND METHOD OF IMPLEMENTING A
CLOSED LOOP RESPONSE ARCHITECTURE FOR
ELECTRONIC COMMERCE

Express Mail Label No. EM1382155061US

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 202211. ☒ • Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)2. ☒ Specification [Total Pages 54]
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed. Sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 6]

4. Oath or Declaration [Total Pages 3]

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d)
(for continuation/divisional with Box 16 completed)

1. ☐ DELETION OF INVENTOR(S)Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b)*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL
ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE
FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.29).5. ☐ Microfiche Computer Program (Appendix)6. Nucleotide and/or Amino Acid Sequence Submission
(if application, all necessary)

- a. ☐ Computer Readable Copy
- b. ☐ Paper copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheets & documents))8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of
(when there is an assignee) ☐ Attorney9. ☐ English Translation Document (if applicable)10. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 ☐ Citations11. ☐ Preliminary Amendment12. ☒ Return Receipt Postcard (MPEP 503)
Should be specifically itemized)
* Small Entity13. ☒ Statement(s) ☐ Statement filed in prior application
(PTO/SB/09-12) Status still proper and desired14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)15. ☐ Other: _____

6. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. ____ / ____

Prior application information: Examiner _____

Group/Art Unit: _____

FOR CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

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40,819

Signature

Date

August 12, 1999

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Sam Johnson, Eric A. Toops and Fred Tanzella
Application No.: Unassigned Group No.: Unassigned
Filed: August 12, 1999 Examiner: Unassigned
For: A SYSTEM FOR AND METHOD OF IMPLEMENTING A CLOSED LOOP RESPONSE
ARCHITECTURE FOR ELECTRONIC COMMERCE

Assistant Commissioner for Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EM138155061US
Date of Deposit: August 12, 1999

I hereby state that the following *attached* paper or fee

New Non Provisional Application Under 37 C.F.R. § 1.53(b)

- 54 pages including Abstract, 73 claims
- 6 sheets of informal drawings
- Utility Patent Application Transmittal
- Fee Transmittal
- Check in the amount of \$1013.00
- Executed Assignment
- Executed Declaration and Power of Attorney
- Executed Small Entity Declaration

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Alicia C. Sheffield


Signature of person mailing correspondence